Electronic Resources – Consortia, Cooperation, and Acquisition Schemes

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Library: Libraries in Motion - Structures and Services
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Electronic Resources – Consortia, Cooperation, and Acquisition Schemes

Agenda

- Basics
- Consortial structures
- Local stage / settings
- How the work is done
- Cooperation, collection management & acquisition schemes - conclusions
Business & Contract Concepts (available for German Academic Libraries)

- Local contracts
- Regional (consortial) contracts
- German National Licenses (GNL)
- "Allianz-lizenzen" (AL)
- "Bundes-lizenzen" – DEAL ???
Basics

- smaller suppliers
- (normally) small financial amount
- (often) no consortia available
Electronic Resources – Consortia, Cooperation, and Acquisition Schemes

Basics

- "classic" for many contracts (databases, serial publ.)
- even high level financial amounts

e.g.:

[Image of Elsevier logo]
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Basics

German National Licenses (GNL)

- 100%-funded by Deutsche Forschungsgemeinschaft (DFG)
- mostly completed collections
- mostly perpetual access

https://www.nationallizenzen.de/

e.g.:

![Early English Books Online (EEBO)](https://www.earlyenglishbooks.org)
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Basics

"Allianzlizenzen" (AL)

- DFG-funded (optional: 25%); opt-in-model
- more ambitious; following & widening the GNL-concept now for current serial publ.
- national impact intended
- aiming at better contracts (standardisation), incl. better DRM-concepts, archiving ...
- OA-components

E.g.: DE GRUYTER
- aiming at one single nationwide contract (pilot project)
- getting more for less??
- winners & losers between libraries?!
- offsetting-model
- strong OA-component
- "opportunity to fail"
- transitional central funding!?
GASCO (German, Austrian and Swiss Consortia Organisation)

... bundles the regional consortial activities in Germany / Austria / Swiss. It forms a platform for the strategic cooperation in the joint acquisition of electronic journals, databases and e-books for libraries.

https://www.hbz-nrw.de/produkte/digitale-inhalte/gasco
nearly 500 "products" ...
(from "17th - 18th Century Burney Collection Newspapers" / Gale up to "Zoological Record" / Thomson Reuters)
Consortial structures

Consortia / consortial types / consortial leaders:

<table>
<thead>
<tr>
<th>Consortia / Consortia Types / Consortial Leaders</th>
<th>Max-Planck-Gesellschaft</th>
</tr>
</thead>
<tbody>
<tr>
<td>Allianz</td>
<td></td>
</tr>
<tr>
<td>Baden-Württemberg</td>
<td>Mecklenburg-Vorpommern</td>
</tr>
<tr>
<td>Bayern</td>
<td>Niedersachsen</td>
</tr>
<tr>
<td>BMEL</td>
<td>Nordrhein-Westfalen</td>
</tr>
<tr>
<td>Bremen</td>
<td>Österreich</td>
</tr>
<tr>
<td>Fraunhofer-Gesellschaft</td>
<td>Rheinland-Pfalz</td>
</tr>
<tr>
<td>Friedrich-Althoff-Konsortium</td>
<td>Saarland</td>
</tr>
<tr>
<td>Goportis-KL</td>
<td>Sachsen</td>
</tr>
<tr>
<td>Hamburg/Schleswig-Holstein</td>
<td>Sachsen-Anhalt</td>
</tr>
<tr>
<td>Helmholtz-Gemeinschaft</td>
<td>Schweiz</td>
</tr>
<tr>
<td>Hessen</td>
<td>Sonstige</td>
</tr>
<tr>
<td>Leibniz-Gemeinschaft</td>
<td>Thüringen</td>
</tr>
</tbody>
</table>
### Consortial structures

**Example:**

<table>
<thead>
<tr>
<th>Konsortium</th>
<th>Teilnehmer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baden-Württemberg</td>
<td>8</td>
</tr>
<tr>
<td>BMEL</td>
<td>6</td>
</tr>
<tr>
<td>Friedrich-Althoff-Konsortium</td>
<td>9</td>
</tr>
<tr>
<td>Schweiz</td>
<td>15</td>
</tr>
</tbody>
</table>

**GASCO**
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Consortial structures

Friedrich-Althoff-Konsortium

- Bremen
- Friedrich-Althoff-Konsortium
- Hessen
- Nordrhein-Westfalen
- BMEL
- Hamburg/Schleswig-Holstein

e.g.:
Taylor & Francis Journals
2016: managing 32 contracts with 605 holdings (participating institutions)

FAK contract holdings (2016)
(Increase of) consortial interchange:

10 years ago: 89 participations on 35 contracts from other German consortia

2015: 372 participations on 141 contracts from other German consortia!
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Consortial structures

financial volume (all contracts)

2015: 13.5 Mio. EUR

2016: approx. 15 Mio. EUR
### Going consortial - Pros & Cons

<table>
<thead>
<tr>
<th>PRO</th>
<th>CONTRA</th>
</tr>
</thead>
<tbody>
<tr>
<td>• strengthen lib's bargaining position</td>
<td>• high administrative workload</td>
</tr>
<tr>
<td>• discounts</td>
<td>• loss of indiv. independence</td>
</tr>
<tr>
<td>• help &amp; coop. during active use</td>
<td>• (sometimes) high financial hurdles for smaller libs</td>
</tr>
<tr>
<td>• better position in case of reclamation, service break, breach</td>
<td></td>
</tr>
<tr>
<td>• cross &amp; add. access (opt.)</td>
<td></td>
</tr>
</tbody>
</table>
Local stage / settings

Finding the right way to manage e-resources in your library (system) ...
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Local stage / settings

... can be moving in circles ... ?!

Local infrastructure

FU Berlin library system is a **two tier system cooperation** (University Library / UB - Faculty Libraries)

... with distinct budgets!

“Big interdisciplinary contracts” (like Journals from Springer, Elsevier, Wiley, databases like Web of Science ...) are always funded by UB and varied fac. libs in cooperation
Local stage / settings

(Univ. Lib. (UB):
**e-resources**, reference literature, bibliographies, encyclopedias, source works, basic research literature, and interdisciplinary literature, library management system(s)

(Fac. Lib.:
specialized literary, literature of specific relevance

Erasmus+
Local stage / settings

Fact sheet:

holdings: nearly 8 mio. items
databases: approx. 1.800 (50% licensed material)
e-Journals: 64.500 (approx. 50% licensed material)
e-books: approx. 700.000
Local stage / settings

(Trad.) „Toolbox“

OPAC

Link Resolver

Portalsoftware

Inst. Repository

Library System

ERMS
Local stage / settings

Lib's „back office 2.0“
e.g.: [ExLibris Alma]

Unified Resource Management (URM)
Local stage / settings

Lib's „front end 2.0“

e.g.: ExLibris Primo

Discovery & Delivery / One-stop-shop
How the work is done

Notification of a new e-resource (database, e-journal-collection, platform ...):

→ students, academic staff, researchers, librarians, proposals from consortia, „Allianzlizenz“-offering ...

UB:
• checking consortial options
• managing trial (admin, information, activation, deactivation, evaluation)
• correspondence & interaction (publisher, agency, fac. lib., consortia)
• financial consideration / coordination
How the work is done

- getting into a good bargaining position (experiences with provider, earlier contracts, statistics)
- market analysis
- comparing prices
- discussing business & licensing model with consortium (banding, FTEs, DRM ...)
- discussing & finding financial solutions (in coop. with fac. libraries) - depends on resource type

common scheme:
- very specialised = 100% fac. lib.
- specialised = 70% fac. lib. / 30% UB
- more general = 50% UB plus 1 or more fac. libs
- very common = 100% UB or split into many libs (incl. UB)
How the work is done

UB tasks:

• checking, signing & filing of licenses
• contract management / documentation \(\rightarrow\) ERMS-Workflow
How the work is done

UB tasks:

• (splitting) invoices, internal accounting
• admin-data
• contact data (supplier, consortium)
• cataloguing (if not single item, like e-book), holding information & presentation
• organise link resolving (loading, activation)
• inform FU staff and librarians concerning new resource (e-mail & blog posting)
How the work is done

UB tasks:

- organising training sessions (for lib's staff, students & scientists)
- react on service breaks & problems with use (clearing, information, reclamation, error management ...)
- documentation of problems
- handling abuse scenarios
- obtain and evaluate usage stats (no systematic eval., but case orientated)
- keeping in touch with vendor
How the work is done

UB tasks:

- talk to vendor
- checking consortial settings
- checking business & licensing conditions
- market analysis (alternative products)
- comparing prices
- checking usage stats
- (in some circumstances) signing new contract / amendment
- (in some circumstances) finding (new) solutions on divide of costs
- (in some circumstances) deactivate all representations with connection to public retrieval
60 to 70% of our (UB-)acquisitions budget is invested for electronic resources (most of it in ongoing subscriptions); slightly increasing trend

80% of our contracts for ongoing subscriptions are consortial / “Allianzlis.” or GNL contracts
Finding and defining global strategies for acquiring and presenting electronic resources in a two tier library system is difficult (→ ... independent budgets)

UB has to coordinate and mediate between "customer requirements" and the "market"; fac. libs have to bring in their content expertise (and often the money!)

Electronic resources are strong drivers of interlibrary cooperation - this is true in a national and regional perspective and even more for the local level!
P vs. E-discussion is still vehement for monographs (if you ask your customers, they will tend for a strong "as well ... as"; they like to have both, but you can spend the EURO only once ...)

(E-Journals & Databases are not longer questioned in any way)
Excursus on (e-)monographs

good arguments for ...

• reference works
• monogr. with definable essays
• literature in German(!)

Some tendencies:

• buying & permanent use rather than lending & subscription models
• pick & choose rather than packages & "big deal"
• (if available) choosing publisher’s platforms rather than aggregator platforms → better DRM, but often higher effort (workload)
Excursus on (e-)monographs

UB has made intense experiences with a wide range of business models for many years and has established corresponding workflows ...

- packages
- pick & choose
- patron / demand driven acquisition (PDA / DDA)
- evidence based selection (EBS)

UB defined a quota for acquiring e-books (budgets of each subject librarian) that should be at 20% of monogr. budget (meant to boost e-book acquisition in some subject areas)
"In the end, it is all a bit of a gamble, but luck does tend to favor the prepared."

Collins, Maria: Evolving Workflows: Knowing when to Hold'em, Knowing when to Fold'em', The Serials Librarian, 57: 3, p. 261-271
Thank You!

Questions, comments …?

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